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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	9

Application Number	10/677,049
Filing Date	September 30, 2003
First Named Inventor	Satyajit Nath
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA2937US

ENCLOSURES *(Check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
  - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☑ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
  - ☐ Landscape Table on CD
- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☑ Other Enclosure(s) (please identify below):
  1) Confirmation Postcard;
  2) Statement under 37 CFR 3.73(b)

Remarks

Total number of pages does not include postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Carr & Ferrell LLP Cust. No. 22830		
Signature	Susan Yee		
Printed name	Susan Yee		
Date	February 8, 2005	Reg. No.	41,388

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	Susan Yee		
Typed or printed name	Susan Yee, Reg. No. 41,388	Date	February 8, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: PSS Systems, Inc.

Application No./Patent No.: 10/677,049 Filed/Issue Date: 9/30/2003

Entitled: Method and System for Securing Digital Assets Using Process-Driven Security Policies

PSS Systems, Inc., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☑ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is_____ %

in the patent application/patent identified above by virtue of either:

A. ☑ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014575, Frame 0301, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Deidre Paknad — 2/3/05
Signature — Date

Deidre Paknad — (650) 496-2300
Printed or Typed Name — Telephone Number

President and CEO
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSIGNEE:	PSS Systems, Inc.
TITLE:	See Schedule A
U.S. APPL. NO.:	See Schedule A
FILING DATE:	See Schedule A

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

REVOCATION AND POWER OF ATTORNEY

I, the undersigned, **Deidre Paknad**, President and Chief Executive Officer for **PSS Systems Inc.**, the assignee of the entire right, title and interest in the United States patent applications and patents referenced in Schedule A, am authorized to act and sign on behalf of the assignee and hereby revoke all prior powers of attorney previously submitted in the U.S. patent applications and patents referenced in Schedule A. I hereby appoint the agents and attorneys associated with **Customer Number 22830** to prosecute these applications and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please direct all communication relative to these applications to the following correspondence address:

CARR & FERRELL *LLP*
2200 Geng Road
Palo Alto, CA 94303
TEL: 650.812.3400
FAX: 650.812.3444

Respectfully submitted,

Date: 1/31/05

Deidre Paknad

Deidre Paknad
President and Chief Executive Officer
PSS Systems, Inc.

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Schedule A

Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2899US	Multi-Route Client-Server Architecture	09/425,382 6,633,901	10/22/1999 10/14/2003	011695/0080 012653/0350 013515/0565 015530/0673	NetI; then to SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2900US	Method and Architecture for Providing Pervasive Security to Digital Assets	10/076,254	2/12/2002	013905/0194	PSS Systems, Inc.
PA2901US	Managing Secured Files in Designated Locations	10/201,756	7/22/2002	CON of 10/076,254; no separate assignment	Refer to 10/076,254.
PA2902US	System and Method for Providing Distributed Access Control to Secured Items	10/076,181	2/12/2002	012593/0248 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2903US	System and Method for Providing Multi-Location Access Management to Secured Items	10/075,194	2/12/2002	012592/0434 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2904US	Secured Data Format for Access Control	10/074,804	2/12/2002	012623/0795 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.

Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2905US	Method and Apparatus for Securing Electronic Data	10/074,996	02/12/2002	012612/0075 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2906US	Method and Apparatus for Accessing Secured Electronic Data Off-line	10/074,825	02/12/2002	012617/0190 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2907US	Method and System for Restricting Use of a Clipboard Application	10/028,397	12/21/2002	012403/0941 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2908US	System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items	10/105,532	3/20/2002	013935/0224	PSS Systems, Inc.
PA2909US	Dynamic Evaluation of Access Rights	10/127,109	4/22/2002	012968/0789 015530/0673	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2910US	Method and System for Providing Manageability to Security Information for Secured Items	10/132,712	4/26/2002	013905/0143	PSS Systems, Inc.
PA2911US	System and Method for Providing Manageability to Security Information for Secured Items	10/206,486	7/25/2002	CON of 10/132,712	Refer to 10/132,712.
PA2912US	System and Method for Imposing Security on Copies of Secured Items	10/146,207	5/14/2002	013930/0469	PSS Systems, Inc.
PA2913US	Indications of Secured Digital Assets	10/124,179	4/17/2002	012968/0824 015530/0673	Pervasive Security Systems, Inc., then to PSS Systems, Inc.

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Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2914US	Method and Apparatus for Securing Digital Assets	10/159,537	5/31/2002	012975/0878 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2915US	Method and Architecture for Providing Access to Secured Data From Non-secured Clients	10/286,575	11/1/2002	013508/0620 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2916US	Method and System for Protecting Electronic Data in Enterprise Environment	10/159,220	5/31/2002	012961/0718 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2917US	Effectuating Access Policy Changes to Designated Places for Secured Files	10/259,075	9/27/2002	013357/0157 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2918US	Method and System for Implementing Changes to Security Policies in a Distributed Security System	10/186,203	6/26/2002	013206/0113 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2919US	Method and System for Updating Keys in a Distributed Security System	10/206,737	7/26/2002	013162/0122 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2920US	Security System for Generating Keys from Access Rules in a Decentralized Manner and Methods Therefor	10/246,079	9/17/2002	013304/0243 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2921US	Hybrid Systems for Securing Digital Assets	10/325,013	12/20/2002	013709/0017	PSS Systems, Inc.
PA2922US	Method and Apparatus for Securing/Unsecuring Files by File Crawling	10/325,102	12/20/2002	013710/0147	PSS Systems, Inc.
PA2923US	Guaranteed Delivery of Changes to Security Policies in a Distributed System	10/186,204	6/26/2002	013218/0644 013959/0467	Pervasive Security Systems, Inc., then to PSS Systems, Inc.

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Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2924US	Managing File Access Via a Designated Place	10/259,078	9/27/2002	013918/0638	PSS Systems, Inc.
PA2925US	Document Security System that Permits External Users to Gain Access to Secured Files	10/262,218	9/30/2002	013349/0057 013959/0467	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2926US	Method and System for Protecting Encrypted Files Transmitted Over a Network	10/242,185	9/11/2002	013289/0204 015530/0673	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2927US	Security System that Uses Indirect Password-Based Encryption	10/286,524	11/1/2002	013451/0961 015530/0673	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2928US	Security System Using Indirect Key Generation from Access Rules and Methods Therefor	10/295,363	11/15/2002	013710/0139 015530/0673	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2929US	Security System with Staging Capabilities	10/327,320	12/20/2002	013710/0129	PSS Systems, Inc.
PA2930US	Multi-Level Cryptographic Transformations for Securing Digital Assets	10/404,566	3/31/2003	Assignment signed 3/28/2003 and filed with application; no reel/frame information	PSS Systems, Inc.
PA2931US	Method and Apparatus for Uniquely Identifying Files	10/368,277	2/18/2003	014477/0634	PSS Systems, Inc.
PA2932US	Method and System for Securing Digital Assets Using Content Type Designations	10/405,587	4/1/2003	None found	

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Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2933US	Method and System for Managing Security Tiers	10/445,657	5/27/2003	014124/0642	PSS Systems, Inc.
PA2934US	Method and System for Using Remote Headers to Secure Electronic Files	10/448,806	5/30/2003	014134/0170	PSS Systems, Inc.
PA2935US	Method and System for Enabling Users of a Group Shared Across Multiple File Security Systems to Access Secured Files	10/610,832	6/30/2003	014270/0103	PSS Systems, Inc.
PA2936US	Method and System for Fault-Tolerant Transfer of Files Across a Network	10/642,041	8/15/2003	014408/0470	PSS Systems, Inc.
PA2937US	Method and System for Securing Digital Assets Using Process-Driven Security Policies	10/677,049	9/30/2003	014575/0301	PSS Systems, Inc.
PA2938US	Method and Apparatus for Transitioning Between States of Security Policies Used to Secure Electronic Documents	10/676,474	9/30/2003	014570/0346	PSS Systems, Inc.
PA2939US	Method and System for Securing Digital Assets Using Time-Based Security Criteria	10/676,850	9/30/2003	014367/0687	PSS Systems, Inc.
PA2940US	Method and System for Proxy Approval of Security Changes for a File Security System	10/690,243	10/20/2003	014625/0024	PSS Systems, Inc.
PA2941US	Method and System for Distribution of Notifications in File Security Systems	10/742,710	12/19/2003	014833/0983	PSS Systems, Inc.
PA2942US	Method and System for Validating Timestamps	10/745,183	12/22/2003	014853/0855	PSS Systems, Inc.
PA2943US	Method and System for Providing Document Retention Using Cryptography	10/815,251	3/30/2004	015180/0354	PSS Systems, Inc.

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Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2944US	Method and System for Providing Cryptographic Document Retention with Off-Line Access	10/815,229	3/30/2004	015180/0533	PSS Systems, Inc.
PA2945US	Multi-Level File Digests	10/894,493	7/19/2004	Assignment signed 7/7/2004	PSS Systems, Inc.